

RECORDS RETENTION AND DISPOSITION SCHEDULE

COUNTY SHERIFF'S OFFICE



Issued By:



North Carolina Department of Natural and Cultural Resources
Division of Archives and Records
Government Records Section

November 15, 2015

CONTENTS

Approval	i
Front Matter	
Executive Summary	ii
Managing Public Records in North Carolina	iii
Audits, Litigation, and Other Official Actions	vi
Transitory Records	vii
Legend for Records Schedule	ix
Records Retention and Disposition Schedule	
Standard 1: Administration and Management Records	1
Standard 2: Budget, Fiscal, and Payroll Records	15
Standard 3: Information Technology Records	24
Standard 4: Legal Records	28
Standard 5: Personnel Records	33
Standard 6: Public Relations Records	49
Standard 7: Risk Management Records	51
Standard 8: Program Operational Records: Sheriff Records	54
Appendix	
Destruction of Public Records	82
Electronic Records: E-mail, Born Digital Records, and Digital Imaging	83
Microfilm	85
Disaster Assistance	87
Staff Training	88
Request Forms	
Request for Change in Records Schedule	89
Request for Disposal of Unscheduled Records	90
Request for Disposal of Original Records Duplicated by Electronic Means	91
Index	92

County Sheriff's Office Records Retention and Disposition Schedule

The records retention and disposition schedule and retention periods governing the records series listed herein are hereby approved. In accordance with the provisions of Chapters 121 and 132 of the *General Statutes of North Carolina*, it is agreed that the records do not and will not have further use or value for official business, research, or reference purposes after the respective retention periods specified herein and are authorized to be destroyed or otherwise disposed of by the agency or official having custody of them without further reference to or approval of either party to this agreement. The local government agency agrees to comply with 07 NCAC 04M .0510 when deciding on a method of destruction. Confidential records will be destroyed in such a manner that the records cannot be practicably read or reconstructed. However, records subject to audit or those legally required for ongoing official proceedings must be retained until released from such audits or official proceedings, notwithstanding the instructions of this schedule. **Public records, including electronic records, not listed in this schedule are not authorized to be destroyed.**

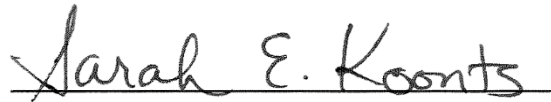
This local government agency and the Department of Natural and Cultural Resources agree that certain records series possess only brief administrative, fiscal, legal, research, and reference value. These records series have been designated by retention periods that allow these records to be destroyed when "*reference value ends.*" The local government agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction "*destroy when reference value ends.*" If a local government agency does not establish internal policies and retention periods, the local government agency is not complying with the provisions of this retention schedule and is not authorized by the Department of Natural and Cultural Resources to destroy the records with the disposition instruction "*destroy when reference value ends.*"

The local government agency and the Department of Natural and Cultural Resources concur that the long-term and/or permanent preservation of electronic records requires additional commitment and active management by the agency. The agency agrees to comply with all policies, standards, and best practices published by the Department of Natural and Cultural Resources regarding the creation and management of electronic records.

It is further agreed that these records may not be destroyed prior to the time periods stated; however, for sufficient reason they may be retained for longer periods. This schedule is to remain in effect from the date of approval until it is reviewed and updated.

APPROVAL RECOMMENDED

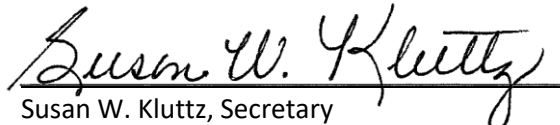
County Sheriff



Sarah E. Koonts, Director
Division of Archives and Records

APPROVED

Chairman, Bd. County Commissioners



Susan W. Kluttz, Secretary
Department of Natural and Cultural Resources

County: _____

EXECUTIVE SUMMARY

- ✓ According to G.S. § 121-5(b) and G.S. § 132-3, you may only destroy public records with the consent of the Department of Natural and Cultural Resources (DNCR). The State Archives of North Carolina is the division of DNCR charged with administering a records management program. This schedule is the primary way the State Archives of North Carolina gives its consent. Without approving this schedule, your agency is obligated to obtain the State Archives of North Carolina's permission to destroy *any* record, no matter how insignificant.
- ✓ Each records series listed on this schedule has specific disposition instructions that will indicate how long the series must be kept in your office. In some cases, the disposition instructions are simply "Retain in office permanently," which means that those records must be kept in your office forever. In other cases, the retention period may be "destroy in office when reference value ends." An agency may have reference copies of materials, meaning "a copy of a record distributed to make recipients aware of the content but not directing the recipient to take any action on the matter" (From Richard Pearce-Moses, *A Glossary of Archival and Records Terminology*). Your agency must establish and enforce internal policies by setting minimum retention periods for the records that the State Archives of North Carolina has scheduled with the disposition instructions, "destroy when reference value ends."
- ✓ E-mail is a record as defined by G.S. § 121-5 and G.S. § 132. It is the content of the e-mail that is critical when determining the retention period of a particular e-mail, including attachments, not the media in which the record was created. It is important for all agency employees and officials to determine the appropriate records series for specific e-mails and retain them according to the disposition instructions.
- ✓ The State Archives of North Carolina recommends that all agency employees and officials view the tutorials that are available online through the State Archives website in order to familiarize themselves with records management principles and practices. The State Archives of North Carolina's online tutorials include topics such as records management, utilizing the retention schedule, e-mail management, and scanning guidelines.
- ✓ The State Archives of North Carolina provides microfilming services for the minutes of major decision-making boards and commissions. Once those records are filmed, we will store the silver negative (original) in our security vault. There is a nominal fee for filming and duplicating film. Contact the Records Management Analyst in charge of microfilm coordination for the most current information.

MANAGING PUBLIC RECORDS IN NORTH CAROLINA

Q. What is this “records retention and disposition schedule”?

- A.** This document is a tool for the employees of local government agencies across North Carolina to use when managing the records in their offices. It lists records commonly found in agency offices and gives an assessment of their value by indicating how long those records should be retained. This schedule is also an agreement between your agency and the State Archives of North Carolina.

This schedule serves as the inventory and schedule that the State Archives of North Carolina is directed by G.S. § 121-5(c) and G.S. § 132-8 to provide. It supersedes all previous editions, including all amendments.

Q. How do I get this schedule approved?

- A.** This schedule must be approved by your governing body for use in your agency. That approval should be made in a regular meeting and recorded as an action in the minutes. It may be done as part of the consent agenda, by resolution, or other action.
-

Q. Am I required to have all of the records listed on this schedule?

- A.** No, this is not a list of records you must have in your office.
-

Q. What is “reference value”?

- A.** Items containing “reference value” in the disposition instructions are generally records that hold limited value, which is typically restricted to those documenting routine operations within the office. A minimum retention period should be established by the office for any items containing the phrase “destroy in office when reference value ends” in the disposition instructions.
-

Q. Do the standards correspond to the organizational structure of my agency?

- A.** Records series are grouped into standards to make it easier for users to locate records and their disposition instructions. You may find that the groupings reflect the organizational structure of your agency, or you may find that records are located in various standards depending on the content of the record. The intent of the schedule’s organization is to provide an easy reference guide for the records created in your agency.
-

Q. What if I cannot find some of my records on this schedule?

- A.** Sometimes the records are listed in a different standard than how you organize them in your office. Be sure to check the Index and utilize the search function on the PDF version of the schedule to facilitate the location of records series. If you still cannot locate your records on the schedule, contact a Records Management Analyst. We will work with you to amend this records schedule so that you may destroy records appropriately.
-

Q. What are public records?

- A.** The *General Statutes of North Carolina*, Chapter 132, provides this definition of public records:

“Public record” or “public records” shall mean all documents, papers, letters, maps, books, photographs, films, sound recordings, magnetic or other tapes, electronic data-processing records, artifacts, or other documentary material, regardless of physical form or characteristics, made or received pursuant to law or ordinance in connection with the transaction of public business by any agency of North Carolina government or its subdivisions. Agency of North Carolina government or its subdivisions shall mean and include every public office, public officer or official (State or local, elected or appointed), institution, board, commission, bureau, council, department, authority or other unit of government of the State or of any county, unit, special district or other political subdivision of government.

Q. Is any person allowed to see my records?

A. Yes, except as restricted by specific provisions in state or federal law. G.S. § 132-6 instructs:

“Every custodian of public records shall permit any record in the custodian’s custody to be inspected and examined at reasonable times and under reasonable supervision by any person, and shall, as promptly as possible, furnish copies thereof upon payment of any fees as may be prescribed by law. ... No person requesting to inspect and examine public records, or to obtain copies thereof, shall be required to disclose the purpose or motive for the request.”

Q. What about my confidential records?

A. Not all government records are open to public inspection. Exceptions to the access requirements in G.S. § 132-6 and the definition of public records in G.S. § 132-1 are found throughout the General Statutes. You must be able to cite a specific provision in the General Statutes or federal law when you restrict or deny access to a particular record.

Q. Am I required to make available to the public copies of drafts that have not been approved?

A. Yes, even if a report, permit, or other record has not been finalized, it is still a public record subject to request. Any record that is not confidential by law must be provided when a request is received, whether it is “finished” or not.

Q. What do I do with permanent records?

A. Permanent records should be maintained in the office that created the records, forever. They must also have a preservation duplicate, which is either a paper or microfilm copy. See the Human-Readable Preservation Duplicates policy from the North Carolina Department of Cultural Resources (<http://archives.ncdcr.gov/Portals/26/PDF/guidelines/Humreadabledupspolicy.pdf>).

Q. What is historical value?

A. Historical records document significant events, actions, decisions, conditions, relationships, and similar developments. These records have administrative, legal, fiscal, or evidential importance for the government or its citizens. Call a Records Management Analyst for further assistance in assessing historical value.

Q. What if I do not have any records?

A. Nearly every position in government generates, receives, or uses records. Computer files of any kind, including drafts and e-mail, are public records. Even if your records are not the official or final version, your records are public records. Not all records have high historical, legal, or fiscal value, but they all must be destroyed in accordance with the provisions of the appropriate records schedule.

Q. May I store our unused records in the basement, attic, shed, etc.?

A. Public records are public property. Though we encourage agencies to find places to store records that do not take up too much valuable office space, the selected space should be dry, secure, and free from pests and mold. Your office must ensure that records stored away from your main office area are well protected from natural and man-made problems while remaining readily available to your staff and the public.

Q. Our old records are stored in the attic, basement, or off-site building, etc. Are we required to provide public access to these records?

A. Yes, as long as the records are not confidential by law. You should also be aware that confidentiality can expire.

Q. Aren't all of our old records at the State Archives of North Carolina?

- A.** Probably not. The State Archives of North Carolina collects only very specific types of records from county offices. Contact a Records Management Analyst for more information about which records are held or can be transferred to the State Archives of North Carolina for permanent preservation.

Q. I found some really old records. What should I do with them?

- A.** Call a Records Management Analyst. We will help you examine the records and assess their historical value.

Q. Can I give my old records to the historical society or public library?

- A.** Before you offer any record to a historical society, public library, or any other entity, you must contact a Records Management Analyst. Permanent records must be kept either in your offices or at the State Archives of North Carolina.

Q. Who can I call with questions?

- A.** If you are located west of Statesville, call our Western Office in Asheville at (828) 296-7230 extension 224. If you are east of Statesville, all the way to the coast, call our Raleigh office at (919) 807-7350.

AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION

Q. Why is there an asterisk in the disposition instructions of so many items on this schedule?

A. No record involved in a pending audit, legal, or other official action may be destroyed before that audit or action is resolved.

A legal hold or litigation hold means that records that are the subject of the legal hold or litigation hold must be preserved and thus must not be destroyed until officially released from the hold. A legal hold or litigation hold is placed when either an official discovery order is served on the agency requesting the production of the records in question (for a litigation, regulatory investigation, audit, open records request, etc.) or litigation is pending and the agency is thus on notice to preserve all potentially relevant records. You must also ensure that for a claim or litigation that appears to be reasonably foreseeable or anticipated but not yet initiated, any records (in paper or electronic formats) relevant to such a claim or litigation are preserved and not destroyed until released by your General Counsel. The records in question must not be destroyed until the completion of the action and the resolution of all issues that arise from it regardless of the retention period set forth in this schedule.

We have used an asterisk (*) in the disposition instructions to mark records series that are commonly audited, litigated, or may be subject to other official actions. However, any record has this potential. Records custodians are responsible for being aware of potential actions, and for preventing the destruction of any record that is, or may be reasonably expected to become, involved in an audit, legal, or other official action.

Records used during routine audits may be destroyed when the governing body accepts the audit, if the records have completed the retention period listed in this schedule. If time remains in the retention period, the records must be maintained for the remainder of the period. The auditor's working papers must be kept according to the schedule. (See **AUDITS: PERFORMANCE**, page 2, item 7, and **AUDITS: FINANCIAL**, page 16, item 5.) Should a dispute arise over an audit, the records that were audited should be retained until that dispute is resolved.

The attorney representing the agency should inform records custodians when legal matters are concluded and records will no longer be needed. Following the conclusion of any legal action, the records may be destroyed if they have met the retention period in the schedule. Otherwise, they should be kept for the remaining time period.

TRANSITORY RECORDS

Transitory records are defined as “record[s] that [have] little or no documentary or evidential value and that need not be set aside for future use.”¹

According to North Carolina General Statutes § 121 and § 132, every document, paper, letter, map, book, photograph, film, sound recording, magnetic or other tape, electronic data processing record, artifact, or other documentary material, regardless of physical form or characteristic, made or received in connection with the transaction of public business by any state, county, municipal agency, or other political subdivision of government is considered a public record and may not be disposed of, erased, or destroyed without specific approval from the Department of Natural and Cultural Resources.

The Department of Natural and Cultural Resources recognizes that some records may have little or no long-term documentary or evidential value to the creating agency. These records are often called “transitory records.” The following questions and answers discuss types of transitory records commonly created in state government. They may be disposed of according to the guidance below. However, all public employees should be familiar with the General Schedule for State Agency Records, their office’s Program Records Retention and Disposition Schedule, and any other applicable guidelines for their office. If any of these documents require a different retention period for these records, follow the longer of the two retention periods. When in doubt about whether a record is transitory, or whether it has special significance or importance, retain the record in question and seek guidance from the analyst assigned to your agency.

Q. What do I do with routing slips, fax cover sheets, “while you were out” slips, memory aids, etc.?

- A.** Routing slips and transmittal sheets adding no information to that contained in the transmitted material have minimal value after the material has been successfully transmitted. These records may be destroyed or otherwise disposed of after receipt of the material has been confirmed.

Similarly, “while you were out” slips, memory aids, and other records requesting follow-up actions (including voicemails) have minimal value once the official action these records are supporting has been completed and documented. Unless they are listed on the General Schedule for State Agency Records or your office’s Program Records Retention and Disposition Schedule, these records may be destroyed or otherwise disposed of once the action has been resolved.

Q. What about research materials, drafts, and other working papers used to create a final, official record?

- A.** Drafts and working papers are materials, including notes and calculations, gathered or created to assist in the creation of another record. All drafts and working papers are public records subject to all provisions of General Statute § 132, but many of them have minimal value after the final version of the record has been approved, and may be destroyed after final approval, if they are no longer necessary to support the analysis or conclusions of the official record. Drafts and working documents which may be destroyed after final approval include:

- Drafts and working papers for internal administrative reports, such as daily and monthly activity reports
- Drafts and working papers for internal, non-policy-level documents, such as informal workflows and manuals; and
- Drafts and working papers for presentations, workshops, and other explanations of agency policy that are already formally documented.

¹ *A Glossary of Archival and Records Terminology*, Richard Pearce-Moses (2005)

Working papers supporting certain financial, legal, and other mission-critical functions, however, may continue to have value even after the final, official copy has been approved. To retain these records appropriately, consult the General Schedule for State Agency Records or your office's Program Records Retention and Disposition Schedule.

Q. What if I have forms designed and used solely to create, update, or modify records in an electronic medium??

- A.** If these records are not required for audit or legal purposes, they may be destroyed in office after completion of data entry and after all verification and quality control procedures. However, if the forms contain any analog components that are necessary to validate the information contained on them (e.g. a signature or notary's seal), they should be retained according to the disposition instructions for the records series encompassing the forms' function.

See also the State Archives of North Carolina's guidance on digital signatures found at the following link: http://archives.ncdcr.gov/Portals/26/PDF/guidelines/electronic_signature_policy.pdf

LEGEND FOR RECORDS SCHEDULE

This Records retention and disposition schedule applies to records in all media, unless otherwise specified.



– symbol designating that one or more records in this series may be confidential or may include confidential information.

Item # – an identifying number assigned to each records series for ease of reference.

Series – “a group of similar records that are . . . related as the result of being created, received, or used in the same activity.” (From Richard Pearce-Moses, *A Glossary of Archival and Records Terminology*). Series in this schedule are based on common functions in government offices.

Records Series Title – a short identification of the records in a series, based on their common function.

Series Description – a longer description of the records in a series, often including the types of records that can frequently be found in that series. This information is included underneath the Records Series Title.

Disposition Instructions – instructions dictating the length of time a series must be retained, and how the office should dispose of those records after that time.

Citation – a listing of references to statutes, laws, and codes related to the records series. Citations can include:

- Authority: governing the creation of records
- Confidentiality: limiting access to public records
- Retention: setting a retention period

Throughout this schedule, items that cross-reference other items within this schedule are indicated with bold, uppercase letters. If you hover your cursor over one of these items, you will see the hand tool that will enable you to click on the item to follow the link to that location.

NOTICES OF PUBLIC MEETINGS

Includes notices and regular meeting schedules.

See also **AFFIDAVITS OF PUBLICATION**, page 42, item 1.

Sample records series title and description with cross-reference included

No destruction of records may take place if litigation or audits are pending or reasonably anticipated.

See also AUDITS, LITIGATION, AND OTHER OFFICIAL ACTIONS, page vi.



As of March 1, 2019, all local government agencies in North Carolina will use the General Records Schedule for Local Government Agencies to find the appropriate disposition instructions for records that fall under these standards:

- Administration and Management Records
- Budget, Fiscal, and Payroll Records
- Geographic Information Systems Records
- Information Technology Records
- Legal Records
- Personnel Records
- Public Relations Records
- Risk Management Records
- Workforce Development Records

More information about this transition can be found on our blog at <https://ncrecords.wordpress.com/2019/01/14/new-retention-schedule-model-for-north-carolina-local-governments/>.

This new Local Government General Records Schedule can be found on our website at <https://archives.ncdcr.gov/government/retention-schedules/local-government-schedules> and supersedes the correlating standards that were a part of previously approved local government agency schedules, so we have deleted those standards from the published version of this schedule.

If you have any questions, please contact [a records management analyst](#) in the Government Records Section of the State Archives of North Carolina.

STANDARD 8: PROGRAM OPERATIONAL RECORDS – SHERIFF RECORDS


Records received and created by a county sheriff department necessary to meet all statutory requirements. Comply with applicable provisions of G.S. §132-1.4 regarding confidentiality of law enforcement records and G.S. §153A-98 and G.S. §160A-168 regarding confidentiality of personal information of law enforcement officers.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
1.	<p>ACCREDITATION RECORDS Records concerning compliance with those standards outlined by professional law enforcement agencies’ accreditation programs.</p>	<p>Destroy in office 1 year after accreditation is obtained, renewed, or no longer valid.*</p>	
2.	<p>ACTIVITY REPORTS Reports of officer activities on each shift or special detail worked, including activities of the Domestic Violence Unit. Includes information on occurrence of all criminal activity, complaints, arrests, traffic violations and accidents, rescue service calls, hours worked, miles traveled, location of call, type of call, time of call, papers served, and other related information. (This information is often collected by the day and month for statistical reporting and includes reports submitted to Uniform Crime Reporting Program.)</p> <p>See also REPORTS AND STUDIES, page 13, item 52.</p>	<p>a) Retain in office 1 copy of all annual and biennial reports permanently.</p> <p>b) Retain in office reports and studies prepared by request of an agency’s governing body or a court permanently.</p> <p>c) Destroy in office reports prepared monthly, bimonthly, or semi-annually after 3 years.</p> <p>d) Destroy in office activity reports concerning workload measurements, time studies, number of jobs completed, etc., prepared on a daily or other periodic basis, after 1 year.</p> <p>e) Destroy in office remaining reports and studies when reference value ends.† Agency Policy: Destroy in office after _____</p>	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.



STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
3.	ALARM CALL REPORTS Reports completed by officers responding to alarm calls. Includes listings of alarm type, time received, time arrived, reason for activation, and other related information. May include forms completed by businesses naming emergency contacts, location of safe, and other related information.	a) Destroy in office after 30 days if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	
4.	ALTERNATIVE SENTENCING PROGRAMS Records documenting alternative sentencing programs. May include work release and weekender service.	Destroy in office 3 years after individual leaves program.	
5.	ANIMAL CONTROL RECORDS Reports of animal control calls. May include information regarding animal bites, animals received from citizens, strays caught, animals taken to shelter or returned to owner, use of tranquilizer guns, and other related information.	a) Destroy in office records concerning dangerous animals when known dead or after 10 years. b) Destroy in office records concerning animal abuse cases after 5 years. c) Destroy in office remaining records after 1 year.	
6.	 ARREST PROCESSING: TRACKING RECORDS Records used to track a defendant’s time and activities while in arrest processing. May include time of arrival and time to and from each workstation.	Destroy in office 1 year after date of arrest.*	Confidentiality: G.S. § 132-1.4

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.



STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
7. 	ARREST PROCESSING: DWI TRACKING RECORDS Records used to track a defendant’s time and activities while in arrest processing. May include time of arrival and time to and from each workstation, time to and from the magistrate’s office, time allowed to use the telephone, and notes documenting any unusual and/or violent behavior.	Destroy in office 2 years after date of arrest.*	Confidentiality: G.S. § 132-1.4
8. 	ARREST REPORTS Reports concerning arrests made by officers. May include complete name, alias or nickname, residence, sex, age, date of birth, physical description, offense committed, car make, license number, occupation, telephone numbers, names of witnesses, name(s) of arresting officer(s), and other related information.	a) Destroy in office 5 years from date of arrest if report is not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	Confidentiality: G.S. § 132-1.4
9.	AUCTION RECORDS Records concerning abandoned and unclaimed articles or found property sold at public auction. May include auction receipts of monies received for items sold.	Destroy in office after 3 years.*	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.



STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
<p>10.</p> 	<p>AUDIO AND VIDEO RECORDINGS Tapes and digital recordings generated by mobile and fixed audio and video recording devices.</p> <p>Does not include ELECTRONIC RECORDINGS OF INTERROGATIONS (JUVENILE OR HOMICIDE), page 65, item 35.</p>	<p>a) Destroy in office after 30 days if not made part of a case file.</p> <p>b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p> <p>c) If record is made part of a citizen complaint follow disposition instructions for COMPLAINTS AGAINST DEPARTMENT / ADMINISTRATIVE INVESTIGATION RECORDS, page 60, item 20.</p> <p>d) If record is made part of an internal investigation follow disposition instructions for INTERNAL AFFAIRS CASE RECORDS, page 72, item 62.</p>	<p>Confidentiality: G.S. § 132-1.4 G.S. § 153A-98</p>
<p>11.</p> 	<p>CASE HISTORY FILE: FELONIES Includes investigative reports, complaint reports, fingerprint cards, original arrest reports, copies of warrants, special expenditure reports; statements of seized and returned property, interview sheets; case status reports, photographs, court orders, correspondence; officer’s notes, laboratory tests, court dispositions, audio or video recordings, and other related records.</p> <p>See also ELECTRONIC RECORDINGS OF INTERROGATIONS (JUVENILE OR HOMICIDE), page 65, item 35.</p>	<p>a) Destroy in office records concerning solved cases after 20 years.*</p> <p>b) Retain in office records concerning unsolved cases until solved, and then follow disposition instructions in part (a).</p>	<p>Confidentiality: G.S. § 132-1.4</p>

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
12. 	CASE HISTORY FILE: MISDEMEANORS Includes investigative reports, complaint reports, fingerprint cards, original arrest reports, copies of warrants, special expenditure reports, statements of seized and returned property, interview sheets, case status reports, photographs, court orders, correspondence, officer’s notes, laboratory tests, court dispositions, audio or video recordings, and other related records.	a) Destroy in office records concerning solved malicious misdemeanor cases after 3 years.* b) Retain in office records concerning unsolved malicious misdemeanor cases until solved, and then follow disposition instructions in part (a). c) Destroy in office records concerning all misdemeanor cases not covered in (a) or (b) after 3 years.*	Confidentiality: G.S. § 132-1.4
13. 	CASE HISTORY FILE: CASES NOT OFFICIALLY INVESTIGATED	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4
14.	CHEMICAL ANALYSIS RECORDS Records and reports generated when individuals suspected of being under the influence of or impaired by illegal drugs or alcohol are chemically tested.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if records are not made part of a case file.† Agency Policy: Destroy in office after _____	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.



† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
15.	<p>CIVIL PROCESS RECORDS Records concerning civil papers processed and served by sheriff’s office. May include lists of summons, notices, subpoenas, and court orders served; lists of items seized or sold on execution; lists of judgments collected; and ejectments. (Entries are usually filed numerically by case number and indexed by name).</p>	<p>a) Return originals to issuing clerk of court’s office as required by law once served, canceled, withdrawn or otherwise disposed of.</p> <p>b) Destroy in office after 3 years records concerning judgments collected and similar claims and deliveries.*</p> <p>c) Destroy in office records concerning all other civil orders including department copies of warrants, subpoenas and orders of arrest when reference value ends.† Agency Policy: Destroy in office after _____</p>	
16.	 <p>COMMUNICATION RECORDS Recordings, printouts, and logs of telephone, radio, dispatch, 911 emergency calls or texts, and computer aided dispatch (CAD) systems incoming and/or outgoing communications. May include time and date of call, contents of call, location of call, name of unit sent to scene, and other related information.</p>	<p>a) Destroy in office after 30 days if not made part of a case file.*</p> <p>b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p>	Confidentiality: G.S. § 132-1.4
18.	<p>COMPOSITE INTERVIEWS Summaries of interviews used to determine the physical description of suspects. May include race, sex, build, weight, eye and hair color, skin tone, weapon description, and other related information.</p>	<p>a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p> <p>b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____</p>	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.




† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
<p>19.</p> 	<p>COMPLAINTS Records concerning complaints to which a unit responded. May include logs listing name and address of victim, time, date, nature of complaint, responding officer’s name, action taken, and other related information.</p> <p>See also COMPLAINTS AGAINST DEPARTMENT/ ADMINISTRATIVE INVESTIGATION RECORDS, page 60, item 20.</p>	<p>a) Destroy in office 3 years after resolution if not made part of a case file.</p> <p>b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p>	<p>Confidentiality: G.S. § 132-1.4</p>
<p>20.</p> 	<p>COMPLAINTS AGAINST DEPARTMENT/ ADMINISTRATIVE INVESTIGATION RECORDS Citizen complaints against employees of the department or against the department. May include administrative investigation reports initiated within the sheriff’s office.</p> <p>See also COMPLAINTS, page 60, item 19.</p>	<p>a) Destroy in office records of complaints against employees of the department 3 years after resolution if not made part of an internal investigation.</p> <p>b) If record is made part of an internal investigation against employees of the department follow disposition instructions for INTERNAL AFFAIRS CASE RECORDS, page 72, item 62.</p> <p>c) If legal action is taken and case adjudicated, destroy in office 5 years after final disposition.</p> <p>d) If legal action is taken and case non-adjudicated (out-of-court claims), destroy in office 5 years after final disposition or expiration of relevant statute of limitations.</p> <p>e) Retain in office litigation case records having precedent or historical value permanently.</p>	<p>Confidentiality: G.S. § 132-1.1(a)</p>

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
21. 	CONFIDENTIAL FUNDS RECORDS Records concerning the use of confidential funds utilized to pay informants, purchase contraband, or otherwise finance undercover operations for vice/narcotics and special investigations.	Destroy in office after 3 years.*	Confidentiality: G.S. § 132-1.7(c)
22. 	CRIME ANALYSIS RECORDS Records used to anticipate, prevent, or monitor possible criminal activity. May include crime reports, photographs, complaints, copies of citations, criminal profile information, and interoffice memoranda generated or accumulated in connection with investigations or directed patrols.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4 G.S. § 132-1.7(a2)
23.	CRIME PREVENTION RECORDS Records concerning sheriff’s office and community meetings and other functions which seek to prevent or monitor possible criminal activity. May include meeting schedules and agendas and other related records.	a) Retain in office records with historical value permanently. b) Destroy in office remaining records when reference value ends.† Agency Policy: Destroy in office after _____	
24. 	CRIMINAL HISTORY RECORDS Records concerning the arrest history of individuals. May include summary sheets or cards, arrest reports, mug shots, fingerprint cards, and other related records.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
25.	DAILY BULLETINS Daily bulletins used to provide officers with information concerning stolen vehicles, missing persons, new warrants, wanted persons, and any other specific complaint or incident. May include “be on the lookout” records and forms.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	
26. 	DETENTION FACILITY INCIDENT REPORTS Detention facility incident reports. Include narratives of incidents, lists of those involved, statements and interview reports, inmates’ refusal of medical treatment, inmates’ refusal to press charges, and other related records.	a) Destroy in office after 3 years.* b) If legal action is taken and case adjudicated, destroy in office 5 years after final disposition. c) If legal action is taken and case non-adjudicated (out-of-court claims), destroy in office 5 years after final disposition or expiration of relevant statute of limitations. d) Retain in office litigation case records having precedent or historical value permanently.	Confidentiality: G.S. § 132-1.1(a)
27.	DETENTION FACILITY INSPECTION RECORDS Inspection reports of county detention facilities. May include reports made by the N.C. Department of Health and Human Services.	a) Destroy in office 1 year from date of report if no violations are recorded. b) Destroy in office 1 year after corrective action was approved if violations are recorded.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
28.	<p>DETENTION FACILITY OPERATIONAL RECORDS Records concerning all activities occurring during shifts at detention facilities. May include end of duty (shift change reports, key and radio control lists, equipment and inmate/non-inmate housing check lists, cell inspection reports, laundry exchange and controlled property lists, tour reports, etc.) and inmate accountability (rosters, commitment and release reports, cell locations, etc.) records.</p>	<p>a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p> <p>b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____</p>	
29.	<p>DETENTION FACILITY PHYSICAL FORCE RECORDS Reports made by any officer or employee of a detention facility who applies physical force to an inmate or arrestee.</p>	Destroy in office after 3 years.*	
30.	<p>DIVISION OF CRIMINAL INFORMATION AND NATIONAL CRIME INFORMATION CENTER (DCI-NCIC) ENTRIES Records and logs listing entries and inquiries made against DCI-NCIC networks and concerning missing persons, wanted persons, stolen vehicles or other property, and other related topics.</p>	Destroy in office 1 year after period covered by audit.*	Authority: 28 USC 534
31.	<p>DIVISION OF CRIMINAL INFORMATION AND NATIONAL CRIME INFORMATION CENTER (DCI-NCIC) VALIDATION RECORDS Requests and proofs of verification for DCI-NCIC or other law enforcement information networks.</p>	Destroy in office after 1 year.*	Authority: 28 USC 534



* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
32.	DNA SAMPLING RECORDS Records documenting the collection of DNA samples from persons for qualifying offenses. May include copies of judgments. Original samples are forwarded to the State Bureau of Investigation.	a) Destroy in office 1 year from date sample was obtained if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	Authority: G.S. § 15A-266.8
33.	 DOMESTIC VIOLENCE RECORDS Restraining orders and related records.	a) Destroy in office after expiration of restraining order if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	Confidentiality: G.S. § 132-1.4
34.	DRIVING WHILE IMPAIRED (DWI) REPORTS Reports used for persons arrested for driving while impaired by alcohol and/or drugs. May include breathalyzer analysis reports.	a) Transfer original records to county Clerk of Superior Court’s office. b) Destroy in office duplicate copies when reference value ends if not made part of a case file.† Agency Policy: Destroy in office after _____ c) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	



* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
35. 	ELECTRONIC RECORDINGS OF INTERROGATIONS (JUVENILE OR HOMICIDE) Electronic recordings generated by audio and/or video recording devices of custodial interrogations in an investigation of a juvenile or any person in a criminal investigation related to a Class A, B1, or B2 felony or a Class C felony of rape, sex offence, or assault with a deadly weapon with intent to kill inflicting serious injury.	a) Destroy in office recordings of interrogations of convicted defendants 1 year after the completion of all State and Federal appeals of the conviction. b) Destroy in office remaining recordings of when reference value ends.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 7B-3001(b) Authority: G.S. § 15A-211
36. 	EXPUNCTIONS Records concerning the expunction of a convicted individual’s records. May include petitions, affidavits, and other related records. If the petition is approved all records relating to that case are to be destroyed in accordance with the court order.	Transfer Final Disposition Report to the State Bureau of Investigation once all records relating to the case are expunged.	Confidentiality: G.S. § 132-1.4 Authority: G.S. § 15A-145 G.S. § 15A-146
37.	EXTRADITION CASE RECORDS Records concerning the extradition of prisoners in and out of state. May include court orders, correspondence, and other related records.	Follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	
38.	FEDERAL FIREARMS NOTIFICATION RECORDS Copies of records and forms provided by individuals applying for certain federal firearm licenses.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	Authority: 18 USC 923(d)(1)(f)(iii)


* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
39.	FEDERAL FORFEITURE RECORDS Records concerning funds received from seized assets and records concerning expenditures made with federal forfeiture funds.	Destroy in office after 3 years.*	
40. 	FIELD OBSERVATIONS Reports concerning field observations of suspicious persons or vehicles. May include subject’s name, address, and physical description; date, time, and location of occurrence; reason for stop; name of officer conducting interview; and other related information.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4
41. 	FINGERPRINT CARDS Records used to verify a subject’s identity. May include fingerprints and all necessary information required to identify an individual. Also includes records of latent finger and palm prints that were found at the scene of a crime without identification of suspects. Original fingerprint records are forwarded to the State Bureau of Investigation. See also JUVENILE CASE HISTORY IDENTIFICATION RECORDS , page 73, item 64.	a) Destroy in office duplicate records after 3 years. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	Confidentiality: G.S. § 132-1.4 Authority: G.S. § 15A-502
42.	FORCIBLE ENTRY RECORDS Reports concerning forcible entries made by sheriff’s office personnel.	Destroy in office after 1 year.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
43.	FUGITIVE WARRANTS CASE RECORDS Records concerning fugitive warrants sent to a department from another jurisdiction requesting assistance in finding an individual. May include fugitive profile and warrant.	Destroy in office 1 year after case is closed.	
44.	 IDENTIFICATION PHOTOGRAPHS Photographs (mugshots) of persons arrested in association with formal investigations. May include driver’s license photos or negatives. See also JUVENILE CASE HISTORY IDENTIFICATION RECORDS , page 73, item 64.	a) Destroy in office after 3 years if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	Confidentiality: G.S. § 132-1.4
45.	IMMIGRATION AND CUSTOMS ENFORCEMENT (ICE) DETAINEE RECORDS Records concerning individuals incarcerated in county detention facilities per the U.S. Illegal Immigration Reform and Immigrant Responsibility Act (IIRAIRA), Section 287(g).	Destroy in office 3 years after individual is released or transferred from the facility.	
46.	INCIDENT RESPONSE REPORTS Reports completed by officers responding to incidents. May include victim, suspect, and witness information; damaged and stolen property reports; statement sheets; Miranda waiver forms; and other related records.	c) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. d) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
47. 	INFORMANT RECORDS Records concerning informants. May include correspondence, payment records, and other related records.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if not made part of case file.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4
48.	INMATE CLASSIFICATION RECORDS Records concerning classification information gathered by the detention facility while inmates are incarcerated. May include incident reports, behavioral or disciplinary reports, interviews, classification level assigned, requested housing moves, and other related records. See also IMMIGRATION AND CUSTOMS ENFORCEMENT (ICE) DETAINEE RECORDS , page 67, item 45.	Destroy in office 3 years after inmate is released or transferred from the facility.*	
49.	INMATE COMMITMENT RECORDS Copies of judgment and commitment papers received from the Clerk of Superior Court’s office used to validate time spent incarcerated.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
50.	INMATE DEATH REPORTS Reports filed by office upon the death of an inmate. A report must be sent to the county health director and N.C. Department of Health and Human Services, within five days of the death.	Destroy in office after 3 years.*	Authority: G.S. § 153A-224(b)
51.	INMATE FINANCIAL RECORDS Records concerning individual inmate funds maintained by a detention facility for use by the inmate while incarcerated. May include balance sheets listing inmate’s name and number, amount of funds, dates of deposits and withdrawals, and other related information.	Destroy in office 3 years after inmate is released or transferred from the facility.*	
52. 	INMATE GRIEVANCE RECORDS Records concerning grievances filed by inmates and actions taken.	<ul style="list-style-type: none"> a) Destroy in office 3 years after inmate is released or transferred from the facility.* b) If legal action is taken and case adjudicated, destroy in office 5 years after final disposition. c) If legal action is taken and case non-adjudicated (out-of-court claims), destroy in office 5 years after final disposition or expiration of relevant statute of limitations. d) Retain in office litigation case records having precedent or historical value permanently. 	Confidentiality: G.S. § 132-1.1(a)

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.


STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
53.	INMATE INCARCERATION RECORDS (ACTIVE AND INACTIVE) Records concerning non-medical information gathered on inmates in county detention facilities. May include entry and release summaries, detainees’ arrest sheets; court commitment and release orders, work release records, pretrial release agreements, and other related records concerning the arrest and confinement of an individual.	Destroy in office 3 years after inmate is released or transferred from the facility.*	
54.	INMATE LIST REPORTS Lists of inmates furnished weekly to the Clerk of Superior Court.	Destroy in office when superseded or obsolete.	Authority: G.S. § 153A-229
55.	INMATE MAIL, TELEPHONE, OR VISITOR RECORDS Records concerning telephone calls and mail sent and received by inmates, and attorneys, ministers, or family members visiting inmates confined in county detention facilities. May include logs listing inmate’s name, date and time of call or mail, visitor’s signature and address, and other related information.	Destroy in office after 1 year.*	
56.	INMATE MEAL RECORDS Records concerning the planning and scheduling of inmate meals. May include food service daily shift reports, daily meal sheets, food order forms, kitchen checklists, lists of inmates receiving meals and other related records. File also includes records concerning requests for special diets made by inmates.	Destroy in office after 3 years.*	Retention: 10A NCAC 14J .1723

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.



STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
57. 	INMATE MEDICAL RECORDS Records concerning medical examinations, diagnoses, and treatments of inmates. May include medical information sheets and screening forms, medical histories as provided by inmate, receipt and/or release forms for medications and medical articles, laboratory and x-ray reports, blood pressure records, sick bay transfer forms, special diet authorizations, psychological evaluation forms, suicide watch sheets, progress notes, health assessment forms, dental forms, doctors’ orders, transportation records to outside clinics or hospitals, and other related records. May also include authorization records for release of medical information to detention facility staff, informed consent forms, refusal of treatment forms, and release of financial responsibility forms.	Destroy in office 5 years after inmate is released or transferred from the facility.*	Confidentiality: G.S. § 8-53 45 CFR 164.502 Authority: G.S. § 153A-225.2
58.	INMATE MONTHLY CONFINEMENT REPORTS Monthly reports submitted to the N.C. Department of Health and Human Services listing confinement (Jail) figures.	Destroy in office after 3 years.*	
59.	INMATE PERSONAL IDENTIFICATION RECORDS Records concerning changes to be made to an inmate’s incarceration file. May include personal identification changes, superior court calendar, long form dismissals and other related records.	Destroy in office 30 days after receipt.*	

8 – Sheriff Records



* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
60.	INMATE RESEARCH REQUESTS Requests filed by inmates seeking use of a facility’s law library or similar collection containing research materials.	Destroy in office 1 year from date of request.	
61.	INMATES: REIMBURSEMENT REQUESTS FOR THOSE CONFINED LOCALLY Reports and supporting documentation sent to the N.C. Department of Public Safety requesting state and/or federal reimbursement for inmates serving sentences of thirty days or more in a county detention facility.	Destroy in office after 3 years.*	Authority: G.S. § 148-32.1
62.	 INTERNAL AFFAIRS CASE RECORDS Records concerning internal investigations of alleged officer misconduct. May include complaints, investigation reports, disciplinary action, and other related records.	a) Transfer records as applicable to PERSONNEL RECORDS (OFFICIAL COPY) , page 43, Item 30. b) Destroy in office all remaining records 2 years after resolution of all actions.	Confidentiality: G.S. § 153A-98 Retention: 29 FR 1602.31
63.	 JUVENILE CASE HISTORY FILES Includes incident and arrest reports, detention orders, disposition instructions, name and address of person having legal and/or physical custody of child, correspondence with county or state juvenile services, and other related records.	a) Destroy in office records when juvenile reaches 21 years of age if adjudicated for an offense that would have been a Class A, B1, B2, C, D, or E felony if committed by an adult. b) Destroy in office records related to all other cases when juvenile reaches 18 years of age.	Confidentiality: G.S. § 7B-3001(b)


* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
64. 	JUVENILE CASE HISTORY IDENTIFICATION RECORDS Includes fingerprints and photographs.	a) Transfer to the State Bureau of Investigation and Federal Bureau of Investigation. b) Destroy in office reference copies when reference value ends.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 7B-2102 (d) Retention: G.S. § 7B-2102
65. 	JUVENILE DETENTION RECORDS Records concerning medical and non-medical information gathered on juvenile inmates held in county detention facilities.	a) Destroy in office medical records when juvenile reaches 21 years of age. b) Destroy in office non-medical records when juvenile reaches 18 years of age.	Confidentiality: G.S. § 7B-3001(b)
66.	MULTIPLE FIREARMS SALES REPORTS Reports received from dealers reporting the sale of multiple firearms.	Destroy in office when reference value ends, but within 20 days after receipt.† Agency Policy: Destroy in office after _____	Retention: 18 USC 923(g)(3)(b)
67.	MULTIPLE FIREARMS SALES REPORTS DESTRUCTION RECORDS Records submitted after 6 months to the U.S. Attorney General’s Office certifying that all multiple firearm sales reports received from dealers have been destroyed.	Destroy in office after 1 year.	Authority: 18 USC 923(g)(3)(b)
68.	MUTUAL AID AGREEMENT RECORDS Records concerning officers who work with other agencies and vice versa. May include mutual aid agreements and supporting documentation.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.




† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
69.	PAROLE COMMISSION NOTIFICATION REPORTS Reports submitted to the N.C. Parole Commission listing dates of incarceration, jail credit, and other related documentation.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	Authority: G.S. § 148-32.1
70.	PAWNSHOP RECORDS Pawnshop and property records.	a) Destroy in office after 1 year if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	
71.	PERMISSION TO SEARCH RECORDS Authorizations for officers to search property, and if necessary, confiscate property deemed pertinent to an investigation.	a) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12. b) Destroy in office when reference value ends if not made part of a case file.† Agency Policy: Destroy in office after _____	
72.	 PERMITS, CONCEALED WEAPONS Applications and supporting documentation filed to carry concealed weapons. May include lists of permit holders, lists of permit denials, records of background checks, and other related documentation.	a) Destroy in office all approved applications 5 years after the date of last renewal. b) Destroy in office criminal histories and related records concerning approved applications when permit is issued. c) Destroy in office denied applications and related records 5 years from date of denial or resolution of petition filed with district court, whichever is longer.	Authority & Confidentiality: G.S. § 14-415.17

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
73. 	PERMITS, HANDGUN Applications filed to purchase handguns. May include lists of permit holders, lists of permit denials, background checks, and other related records.	a) Destroy in office all approved applications after 5 years. b) Destroy in office criminal histories and related records concerning approved applications when permit is issued. c) Destroy in office denied applications and related records 5 years from date of denial or resolution of petition filed with district court, whichever is longer.	Authority & Confidentiality: G.S. § 14-405
74. 	PERSONAL HISTORIES OF KNOWN OR SUSPECTED LAW BREAKERS Records collected concerning an identifiable person or group of persons in an effort to anticipate, prevent or monitor criminal activity. May include witnesses’ statements, laboratory tests, surveillance, reports, investigators’ or confidential informants’ statements, photographs, vital statistics, and other related records.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 132-1.4 G.S. § 132-1.7
75. 	PERSONNEL INSPECTION REPORTS Inspection reports concerning individual officer’s physical appearance and condition of uniform and weapons. See also PERFORMANCE REVIEWS , page 42, item 28.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	Confidentiality: G.S. § 153A-98
76.	PRISONER/MENTAL PATIENT TRANSPORT RECORD Verification forms completed by receiving party of prisoner/mental patient.	Destroy in office after 1 year.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
77.	PROPERTY RECORDS: CONFISCATED Itemized lists of all property confiscated by the sheriff’s office pursuant to law.	Destroy in office 1 year after disposition of property.	
78.	PROPERTY RECORDS: EVIDENCE Records used to control and track evidence. May include descriptions of property, physical evidence examination requests, and records documenting final disposition of property.	Destroy in office 1 year after disposition of property.	
79.	PROPERTY RECORDS: STOLEN/RECOVERED Records concerning the recovery of stolen property. May include descriptions of property and its value, serial numbers, and other related records. Records may be filed with original incident report.	Destroy in office 1 year after disposition of property.	
80.	PROPERTY RECORDS: UNCLAIMED Disposition records concerning unclaimed property held by sheriff’s office. May include descriptions of property and serial or identification numbers.	Destroy in office 1 year after disposition of property.	
81.	PROPERTY RECORDS: UNCLAIMED INMATE Records concerning unclaimed personal property stored by the department during an inmate’s incarceration.	Destroy in office 90 days after release and attempt to notify former inmate.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
82.	PURSUIT LOGS Logs concerning pursuits by sheriff’s office personnel.	a) Destroy in office after 3 years if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	
83.	RIDE-ALONG PROGRAM RECORDS Records concerning a sheriff’s office ride-along program. May include citizens’ applications to participate, waivers of liability, and other related records.	Destroy in office after 3 years.*	
84.	SEXUAL OFFENDER RECORDS Records concerning sexual offenders living within jurisdiction.	a) Destroy in office records of persons registered in the “Sex Offender and Public Protection Program” after court petition and review by the State; or after 30 years or length of court order, whichever is greater; or when individual is known dead. b) Destroy in office records of persons registered in the “Sexually Violent Predator Program” when individual is known dead or after 90 years.	Authority: G.S. § 14-208 Retention: G.S. § 14-208.6A
85.	SPECIAL ORDER RECORDS Special orders issued by sheriff concerning the adoption or revision of policy and established procedures on department, division, section, or individual level.	a) Retain in office records with historical value permanently. b) Destroy in office remaining records when superseded or obsolete.	


* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
86.	TOWED/SEIZED VEHICLE INVENTORIES Inventories of towed and seized vehicles.	Destroy in office after 1 year.	
87.	TRAFFIC ACCIDENT REPORTS Records concerning traffic accidents. May include general correspondence, property receipts, collision reports, waivers signed by involved parties agreeing to settle damages among themselves, and other related records.	a) Transfer original collision report to the N.C. Division of Motor Vehicles within 10 days of accident. b) Destroy in office duplicate reports when reference value ends.† Agency Policy: Destroy in office after _____ c) Destroy in office after 3 years records concerning accidents not meeting N.C. Division of Motor Vehicles reporting requirements, but for which a report was made.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.


† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS			
ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
88. 	<p>TRAFFIC CITATIONS Citations issued to drivers violating motor vehicle and traffic laws. May include time, date, and location of violation; license number; violation code; officer’s name; signature of person receiving citation; and other related information.</p>	<p>a) Transfer original records to county Clerk of Superior Court’s office.</p> <p>b) Destroy in office duplicate copies when reference value ends if not made part of a case file.† Agency Policy: Destroy in office after _____</p> <p>c) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p> <p>d) If legal action is taken and case adjudicated, destroy in office 5 years after final disposition.</p> <p>e) If legal action is taken and case non-adjudicated (out-of-court claims), destroy in office 5 years after final disposition or expiration of relevant statute of limitations.</p> <p>f) Retain in office litigation case records having precedent or historical value permanently.</p>	<p>Confidentiality: G.S. § 132-1.1(a)</p>

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
<p>89.</p> 	<p>TRAINING RECORDS Records concerning the training of officers. May include records documenting in-service training schools conducted to develop skills, knowledge, and abilities; field training observation reports; supervisory career assessment forms; certificates; firearms qualifications; and other related records maintained in accordance with N.C. Administrative Code and Criminal Justice Commission standards and regulations. May also include course curriculum, attendance rosters, development material, and other related records.</p>	<p>a) Transfer records as applicable to PERSONNEL RECORDS (OFFICIAL COPY), page 43, item 30, if such training and testing is required for the position held or could affect career advancement. b) Destroy in office remaining records after 1 year.</p>	<p>Confidentiality: G.S. § 153A-98 Retention: 29 CFR 1627.3(b)(1)(iv)</p>
<p>90.</p>	<p>TRESPASS LAW ENFORCEMENT RECORDS Authorizations by property owners, lessees, or managers for officers to take action deemed appropriate to remove unauthorized persons and issue trespass warnings.</p>	<p>Destroy in office when superseded or obsolete.</p>	
<p>91.</p>	<p>VEHICLE LOCATOR RECORDS</p>	<p>Destroy in office after 90 days.*</p>	
<p>92.</p>	<p>VEHICLE TOWING RECORDS Includes recovery authorizations and consent forms completed by owners to have vehicle towed, removed, stored, or left at the scene.</p>	<p>a) Destroy in office after 1 year if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES, page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS, page 58, item 12.</p>	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

STANDARD-8. PROGRAM OPERATIONAL RECORDS: SHERIFF RECORDS

ITEM #	RECORD SERIES TITLE	DISPOSITION INSTRUCTIONS	CITATION
93.	WARNING TICKETS Warning tickets issued by sheriff’s office. May include name and address of person and reason for warning.	a) Destroy in office after 1 year if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	
94.	WARRANTS Warrants issued by a court directing a person to be taken into custody to answer charge.	Return to issuing Clerk of Superior Court’s office as required by law once served, canceled, withdrawn, or otherwise disposed of.	
95.	WARRANT REGISTERS Registers listing warrants served by sheriff’s office.	Destroy in office when reference value ends.† Agency Policy: Destroy in office after _____	
96.	WORK RELEASE EARNINGS REPORTS Inmates’ work release earnings submitted either to the N.C. Department of Public Safety or the Clerk of Superior Court.	Destroy in office after 3 years.*	Authority: G.S. § 148-32.1
97.	WRECKER SERVICE RECORDS Records concerning wrecker requests or calls. May include lists of wrecker company’s towing and storage rates, rotation lists, notification records when vehicles are towed from private property, and other related records.	a) Destroy in office after 1 year if not made part of a case file. b) If records are made part of a case file follow disposition instructions for CASE HISTORY FILE: FELONIES , page 57, item 11; or CASE HISTORY FILE: MISDEMEANORS , page 58, item 12.	

* No destruction of records may take place if audits or litigation are pending or reasonably anticipated. See **AUDITS, LITIGATION, AND OTHER OFFICIAL ACTION**, page vi.

† See signature page. The agency hereby agrees that it will establish and enforce internal policies setting minimum retention periods for the records that Natural and Cultural Resources has scheduled with the disposition instruction “destroy when reference value ends.” Please use the space provided.

DESTRUCTION OF PUBLIC RECORDS

Q. When can I destroy records?

- A.** Each records series listed on this schedule has specific disposition instructions that indicate how long the series must be kept in your office. In some cases, the disposition instructions are “Retain in office permanently,” which means that those records must be kept in your offices forever. (See also the question above, “*What should I do with permanent records?*”)
-

Q. How do I destroy records?

- A.** After your county has approved this records retention and disposition schedule, records should be destroyed in one of the following ways:
- 1) burned, unless prohibited by local ordinance;
 - 2) shredded, or torn so as to destroy the record content of the documents or material concerned;
 - 3) placed in acid vats so as to reduce the paper to pulp and to terminate the existence of the documents or materials concerned;
 - 4) sold as waste paper, provided that the purchaser agrees in writing that the documents or materials concerned will not be resold without pulverizing or shredding the documents so that the information contained within cannot be practicably read or reconstructed.

The provision that electronic records are to be destroyed means that the data, metadata, and physical media are to be overwritten, deleted, and unlinked so that the data and metadata may not be practicably reconstructed.

The data, metadata, and physical media containing confidential records of any format are to be destroyed in such a manner that the information cannot be read or reconstructed under any means.

— N.C. Administrative Code, Title 7, Chapter 4, Subchapter M, Section .0510

Q. How can I destroy records if they are not listed on this schedule?

- A.** Contact a Records Management Analyst. An analyst will discuss the nature of the records with you to determine if the records have historical value. If the records do have historical value, we will discuss the possibility of transferring the records to the State Archives of North Carolina to be preserved permanently.

If the records do not have historical value, we will ask you to complete a **REQUEST FOR DIPOSAL OF UNSCHEDULED RECORDS** (page 90) if the records are no longer being created. If the records are an active records series, an analyst will help you develop an amendment to this schedule so that you can continue to destroy the records appropriately.

Q. Am I required to tell anyone about the destruction?

- A.** We recommend that you report on your records retention activities to your governing board on an annual basis. This report does not need to be detailed, but it is important that significant destructions be entered into the minutes of the Board.

ELECTRONIC RECORDS: E-MAIL, BORN-DIGITAL RECORDS, AND DIGITAL IMAGING

Q. When can I delete my e-mail?

A. E-mail is a public record as defined by G.S. § 121-5 and G.S. § 132. Electronic mail is as much a record as any paper record and must be treated in the same manner. **It is the content of each message that is important.** If a particular message would have been filed as a paper memo, it should still be filed (either in your e-mail program or in your regular directory structure), and it should be retained the same length of time as its paper counterparts. **It is inappropriate to destroy e-mail simply because storage limits have been reached.** Some examples of e-mail messages that are public records and therefore covered by this policy include:

- Policies or directives;
- Final drafts of reports and recommendations;
- Correspondence and memoranda related to official business;
- Work schedules and assignments;
- Meeting agendas or minutes
- Any document or message that initiates, facilitates, authorizes, or completes a business transaction; and
- Messages that create a precedent, such as issuing instructions and advice.

*From the Department of Cultural Resources E-Mail Policy (Revised July 2009),
available at the State Archives of North Carolina website*

Other publications (available online at the [State Archives of North Carolina website](#)) that will be particularly helpful in managing your e-mail include tutorials on managing e-mail as a public record and on using Microsoft Exchange.

Q. May I print my e-mail to file it?

A. We do not recommend printing e-mail for preservation purposes. Important metadata are lost when e-mail is printed.

Q. I use my personal e-mail account for work. No one can see my personal e-mail, right?

A. The best practice is to avoid using personal resources, including private e-mail accounts, for public business. G.S. § 132-1 states that records “made or received pursuant to law or ordinance *in connection with the transaction of public business* by any agency of North Carolina government or its subdivisions” are public records (emphasis added). The fact that public records reside in a personal e-mail account is irrelevant.

Q. We have an imaging system. Are we required to keep the paper?

A. You may scan any record, but you will need to receive approval from the Government Records Section in order to destroy paper originals that have been digitized. Your agency must develop an electronic records policy and then submit a Request for Disposal of Original Records Duplicated by Electronic Means. You can find these templates in the Digital Imaging section of the State Archives of North Carolina website (<http://archives.ncdcr.gov/For-Government/Digital-Records/Digital-Records-Policies-and-Guidelines#imaging>). Contact a Records Management Analyst for further instructions on how to develop a compliant electronic records policy.

Permanent records must have a security preservation copy as defined by State Archives of North Carolina’s **Human-Readable Preservation Duplicate Policy** (G.S. § 132-8.2):

Preservation duplicates shall be durable, accurate, complete and clear, and such duplicates made by a photographic, photo static, microfilm, micro card, miniature photographic, or other process which accurately reproduces and forms a durable medium for so reproducing the original shall have the same force and effect for all purposes as the original record whether the original record is in existence or not. ... Such preservation duplicates shall be preserved in the place and manner of safekeeping prescribed by the Department of Cultural Resources.

The preservation duplicate of permanent records must be either on paper or microfilm.

Non-permanent records may be retained in any format. You will need to take precautions with records that you must keep more than about 5 years. Computer systems do not have long life cycles. Each time you change computer systems, you will have to convert all records to the new system so that you can assure their preservation and provide access.

Q. Computer storage is cheap. Can I just keep my computer records permanently?

- A.** The best practice is to destroy all records that have met their retention requirements, regardless of format.

Q. What are the guidelines regarding the creation and handling of electronic public records?

- A.** There are numerous documents available on the State Archives of North Carolina website (<http://archives.ncdcr.gov/For-Government/Digital-Records/Digital-Records-Policies-and-Guidelines>). Topics covered include shared storage, cloud computing, eDiscovery, trustworthy digital public records, digital signatures, e-mail, social media, text messages, websites, digital imaging, metadata, file formats, database indexing, and security backups.

Note that for e-mail, voicemail, text messages, and social media, they should be handled according to their content. Therefore, this schedule does not include a records series that instructs you on how to handle one of these born-digital records by format; instead of focusing on how the information is disseminated, consider what content is contained in the e-mail, text message, voicemail, or social media post. For instance, an e-mail requesting leave that is sent to a supervisor should be kept for 1 year (see **LEAVE RECORDS**, page 41, item 24).

MICROFILM

Q. Why do you still use microfilm?

A. Microfilm is a legally acceptable replacement for original records, as outlined in G.S. § 8-45.1 and § 153A-436. Microfilm can be read with nothing more sophisticated than a magnifying glass, and there is no software to keep current. Usually, deterioration in the film itself can be detected by visual inspection. The State Archives of North Carolina provides a publication, *Micrographics: Technical and Legal Procedures*, on our website. It explains the four groups of national standards for the production of archival quality microfilm:

- manufacture of raw film
- filming methods
- processing (developing) film
- storage methods

That publication also provides sample forms, targets, and procedures that you or your vendor can use in producing film of your records.

Q. What film services do you provide?

A. The Department of Natural and Cultural Resources provides microfilming services for minutes of major decision-making boards and commissions. We will also film records of adoptions for Social Services agencies. Once those records are filmed, we will store the silver negative (original) in our security vault. Contact the Records Management Analyst in charge of microfilm coordination for the most current information.

Q. How do I get my minutes filmed?

A. We have two processes to film minutes. First, you can send photocopies of your approved minutes to us in the mail. Simply include a copy of the **Certification of the Preparation of Minutes for Microfilming** form (available online at the State Archives of North Carolina website) with each shipment. For more detailed instructions, contact a Records Management Analyst.

Alternatively, you can bring us your original books. We will film them and return them to you. This process is most useful when you have more minutes to film than you are willing to photocopy. It is important to remember that a representative of your office or ours must transport the original books in person so that the custody of the records is maintained. You should not mail or ship your original minutes. Call a Records Management Analyst to make arrangements for an appointment for your books to be filmed. We will make every effort to expedite the filming so that your books will be returned to you as quickly as possible.

Q. What if I need my books while they are being filmed?

A. Call the Raleigh Office at (919) 807-7350, and ask for the Records Management Analyst in charge of microfilm coordination.

Q. Can I send you my minutes electronically?

A. We are working on standards and procedures for an electronic transfer system for minutes. Please contact the Records Management Analyst in charge of microfilm coordination for more information.

Q. I have some old minutes that are not signed. Can they still be filmed?

A. If the only copy you have available is unsigned, and you use it as the official copy, we will film it.

Q. What if my books are destroyed after they have been filmed?

- A.** Call a Records Management Analyst who will help you make arrangements to purchase copies of the microfilm from our office. You can then send those reels to a vendor who can either make new printed books or scan the film to create a digital copy.

DISASTER ASSISTANCE

Q. What should I do in case of fire or flood?

- A.** Secure the area, and keep everyone out until fire or other safety professionals allow entry. Then, call our Raleigh office at (919) 807-7353 for the Head of the Government Records Section or (919) 807-7339 for the State Archivist. If you're in the western part of the state, call our Asheville Office at (828) 296-7230 extension 224. On nights and weekends, call your local emergency management office.

DO NOT ATTEMPT TO MOVE OR CLEAN ANY RECORDS.

Damaged records are extremely fragile and require careful handling. Our staff are trained in preliminary recovery techniques, documenting damage to your records, and authorizing destruction of damaged records. Professional vendors can handle larger disasters.

Q. What help do you give in case of an emergency?

- A.** We will do everything we can to visit you at the earliest opportunity in order to provide hands-on assistance. We can assist you in appraising the records that have been damaged so that precious resources (and especially time) are not spent on records with lesser value. We can provide lists of professional recovery vendors that you can contact to preserve your essential and permanent records.
-

Q. What can I do to prepare for an emergency?

- A.** We provide training on disaster preparation that includes a discussion of the roles of proper inventories, staff training, and advance contracts with recovery vendors. If you would like to have this workshop presented, call a Records Management Analyst.
-

Q. What are essential records?

- A.** Essential records are records that are necessary for continuity of operations in the event of a disaster. There are two common categories of records that are considered essential:
- **Emergency operating records** – including emergency plans and directives, orders of succession, delegations of authority, staffing assignments, selected program records needed to continue the most critical agency operations, as well as related policy or procedural records
 - **Legal and financial rights records** – these protect the legal and financial rights of the Government and of the individuals directly affected by its activities. Examples include accounts receivable records, Social Security records, payroll records, retirement records, and insurance records. These records were formerly defined as “rights-and-interests” records.

Essential records should be stored in safe, secure locations as well as duplicated and stored off-site, if possible.

STAFF TRAINING

Q. What types of workshops or training do you offer?

- A.** We have a group of prepared workshops that we can offer at any time at various locations throughout the state. Contact a Records Management Analyst if you are interested in having one of the workshops presented to your agency. We will work with you directly to develop training suited to your specific needs. Our basic workshops are:
- Managing public records in North Carolina
 - Scanning/digital imaging
 - Disaster preparedness and recovery
 - Confidentiality
 - Organizing paper and digital files
 - E-mail
-

Q. Will you design a workshop especially for our office?

- A.** Yes, we will. Let a Records Management Analyst know what type of training you need.
-

Q. Are workshops only offered in Raleigh?

- A.** No, we will come to your offices to present the workshops you need. We have no minimum audience requirement. We will also do presentations for professional associations, regional consortiums, and the public.
-

Q. Is there a fee for workshops?

- A.** Not at this time.
-

Q. Are the workshops available in an online format?

- A.** Not at this time. However, there are several online tutorials available on the State Archives of North Carolina website, including managing public records, e-mail, electronic records, and scanning.



The formatting of this document has been modified to comply with the web content accessibility requirements of Title II of the Americans with Disabilities Act (ADA), effective April 24, 2026. Its content remains unchanged.

For accessibility purposes, we have removed the following PDF forms from the appendix:

- Request for Change in Records Schedule
- Request for Disposal of Unscheduled Records
- Request for Disposal of Original Records Duplicated by Electronic Means

The latest versions of these forms are instead available for download on our website at:

<https://archives.ncdcr.gov/government/rm-tools>.

For the most current FAQs about commonly asked records management questions and services, please see: <https://archives.ncdcr.gov/government/rm-tools/faq>.

If you have any questions, please contact recordsmanagement@dncr.nc.gov.

INDEX

#

1099 FORMS. *SEE* WITHHOLDING TAX FILE
911 CALLS. *SEE*: EMERGENCY CALL RECORDS

A

ABOLISHED POSITIONS, 33
ACCIDENT/INCIDENT REPORTS (CUSTOMER AND EMPLOYEE), 51
ACCIDENT REPORTS (TRAFFIC), 78
ACCOUNTS PAYABLE, 15
ACCOUNTS RECEIVABLE, 15
ACCOUNTS UNCOLLECTABLE, 15
ACTIVITY REPORTS, 54
ACREDITATION, 54
ADMINISTRATION AND MANAGEMENT RECORDS, 1-14
ADMINISTRATIVE DIRECTIVES, REGULATIONS, AND RULES, 1
AFFIDAVITS OF PUBLICATION, 28
AGENCY PUBLICATIONS, 49
AGENDAS (MEETING), 1
ALARM CALL REPORTS, 55
ALTERNATIVE SENTENCING PROGRAMS, 55
ANNUAL BUDGET, 15
ANIMAL CONTROL RECORDS, 55
APPLICATIONS FOR APPOINTMENT, 1
APPLICATIONS FOR EMPLOYMENT, 33
APPOINTMENT REPORTS, 1
APPRENTICESHIP PROGRAM RECORDS, 34
APTITUDE & SKILLS TESTING RECORDS, 34
ARREST PROCOESSING RECORDS, 55-56
ARREST REPORTS, 56
ASBESTOS MANAGEMENT PLAN, 51
ASBESTOS TRAINING, 34
ASSOCIATIONS AND ORGANIZATIONS, 1
AUCTION RECORDS, 56
AUDIO & VIDEO RECORDINGS OF MEETINGS, 2
AUDIO & VIDEO RECORDINGS (SHERIFF RECORDS), 57
AUDIO & VIDEO RECORDINGS OF INTERROGATIONS (SHERIFF RECORDS), 65
AUDIO-VISUAL RECORDINGS, 57
AUDIT SYSTEMS, 24
AUDITS
 FINANCIAL, 16
 PERFORMANCE, 2
AUTHORIZATION FORMS, 16

B

BANK STATEMENTS, 16
BENEFIT PLAN ENROLLMENT FORMS, EMPLOYEE, 42
BENEFITS RECORDS, 35
BENEFITS REIMBURSEMENT PLAN, 35
BIDS FOR DISPOSAL OF PROPERTY, 16
BIDS FOR PURCHASE, 16
BILLING AND CLAIMS, 17
BIOGRAPHICAL DATA, 7
BLOODBORNE PATHOGEN TRAINING, 35
BLUEPRINTS, 2
BODY CAMERA RECORDINGS, 57
BONDS, 2
BONDS, NOTES, AND COUPONS, 17
BUDGET REPORTS, 17
BUDGET REQUESTS AND WORKING PAPERS, 17
BUDGET RESOLUTIONS AND ORDINANCES, 17
BUDGET, FISCAL, AND PAYROLL RECORDS, 15-23
BULLETINS, 2

C

CALENDARS OF EVENTS AND APPOINTMENTS, 2
CALL CENTER RECORDINGS, 5
CANCELLED CHECKS, 18
CASE HISTORY FILES: FELONIES, 57
CASE HISTORY FILES: MISDEMEANORS, 58
CASE HISTORY FILES: NOT INVESTIGATED, 58
CASE HISTORY FILES: JUVENILE, 72
CASH REPORTS, 17
CERTIFICATION AND QUALIFICATION RECORDS, EMPLOYEE, 36
CHARTER RECORDS, 3
CHECK REGISTERS, 18
CHECK STUBS, 18
CITIZEN COMPLAINTS, PETITIONS, AND SERVICE REQUESTS, 3
CITIZEN SURVEYS, 3
CIVIL PROCESS PAPERS, 59
CIVIL RIGHTS CASE RECORDS, 28
CIVIL RIGHTS RECORDS, 29
CLAIMS FOR DAMAGES, 17
CLASSIFICATION RECORDS, INMATE, 68
COMMITMENT RECORDS, INMATE, 68
COMMUNICATION RECORDS, 59
COMPLAINTS (SHERIFF RECORDS), 60
COMPOSITE INTERVIEWS, 59
COMPREHENSIVE PLAN, 4

COMPUTER AND NETWORK USAGE RECORDS, 24
CONCEALED WEAPONS PERMITS, 74
CONDEMNATION RECORDS, 29
CONFERENCES AND WORKSHOPS, 4
CONFIDENTIAL FUNDS, 61
CONFISCATED PROPERTY RECORDS, 76
CONFLICTS OF COMMITMENT, 29
CONFLICTS OF INTEREST, 29
CONTRACT BUDGET REPORTS, 17
CONTRACTS, LEASES, AND AGREEMENTS, 30
CORRESPONDENCE, 4
CORRESPONDENCE (LEGAL), 31
COST ALLOCATION PLANS, 18
CREDIT CARD ASSIGNMENTS, 18
CREDIT CARD PAYMENTS, 18
CREDIT CARD STATEMENTS, 16
CRIME ANALYSIS, 61
CRIME PREVENTION AND MONITORING, 61
CRIMINAL HISTORY, 61
CUSTOMER CALL CENTER RECORDINGS, 5

D

DAILY BULLETINS (SHERIFF RECORDS), 62
DAILY DETAIL REPORTS, 17
DCI-NCIC ENTRIES, 63
DCI-NCIC VALIDATION, 63
DEATH REPORTS, INMATE, 69
DEBIT CARD PAYMENTS, 16
DEBT FINANCING, 18
DEPOSIT SLIPS, 18
DEPOSITS, 18
DETAIL REPORT FILE (FINANCIAL RECORDS FOR
GENERAL FUND OR GENERAL LEDGER), 18
DETENTION FACILITY INCIDENT REPORTS, 62
DETENTION FACILITY INSPECTION RECORDS, 62
DETENTION FACILITY OPERATIONS, 63
DETENTION FACILITY PHYSICAL FORCE RECORDS, 63
DIGITIZATION AND SCANNING RECORDS, 24
DIRECT DEPOSIT APPLICATIONS/AUTHORIZATIONS,
18
DIRECTIVES, 1
DIRECTORIES, ROSTERS OR INDICES, EMPLOYEE, 36
DISABILITY SALARY CONTINUATION CLAIMS, 36
DISASTER AND EMERGENCY MANAGEMENT PLANS,
52
DISASTER PREPAREDNESS AND RECOVERY PLANS
(INFORMATION TECHNOLOGY), 25
DISASTER RECOVERY, 52
DISCIPLINARY ACTIONS, 36
DISCRIMINATION COMPLAINTS, 30

DIVISION OF CRIMINAL INVESTIGATION AND
NATIONAL CRIME INFORMATION CENTER. *SEE*
DCI-NCIC
DONATIONS AND SOLICITATIONS, 19
DNA SAMPLES, 64
DOMESTIC VIOLENCE RECORDS, 64
DRIVING WHILE IMPAIRED ARREST PROCESSING
RECORDS. *SEE*: DWI ARREST PROCESSING
RECORDS
DRIVING WHILE IMPAIRED REPORTS. *SEE*: DWI
REPORTS
DRUG AND ALCOHOL PREVENTION PROGRAMS, 37
DUAL EMPLOYMENT, 38
DWI ARREST PROCESSING RECORDS, 56
DWI REPORTS, 64

E

EASEMENTS AND RIGHT-OF-WAY AGREEMENTS, 30
EDUCATIONAL ASSISTANCE, EMPLOYEE, 38
EDUCATIONAL LEAVE AND REIMBURSEMENT, 38
EDUCATIONAL RECORDS, EMPLOYEE, 46
ELECTRONIC RECORDINGS OF INTERROGATIONS, 65
ELECTRONIC RECORDS POLICIES AND PROCEDURES,
25
ELIGIBILITY RECORDS, EMPLOYEE, 38
EMERGENCY CALL RECORDS, 59
EMERGENCY EQUIPMENT RECORDS, 52
EMPLOYMENT SELECTION RECORDS, 39
EQUIPMENT INVENTORIES, 11
EQUIPMENT MAINTENANCE, REPAIR, AND
INSPECTION RECORDS, 5
EQUIPMENT REFERENCE RECORDS, 5
EQUIPMENT USAGE RECORDS, 5
EVIDENCE (PROPERTY RECORDS), 76
EXIT INTERVIEW RECORDS, EMPLOYEE, 39
EXPENDITURE REPORTS, 17
EXPUNCTIONS, 65
EXTRADITION CASE RECORDS, 65

F

FACILITY ACCESSIBILITY, 29
FACILITY MAINTENANCE, REPAIR AND INSPECTION
RECORDS, 6
FACILITY SERVICE AND MAINTENANCE
AGREEMENTS, 19, 31
FACILITY USAGE RECORDS, 5
FAMILY MEDICAL LEAVE ACT (FMLA) RECORDS, 39
FEE SCHEDULES, 11
FELONY CASE FILES, 57
FINANCIAL JOURNALS AND LEDGERS, 19
FIELD OBSERVATIONS, 66

FINGERPRINT CARDS, 66
FIRE EXTINGUISHER RECORDS, 52
FIRE, HEALTH, AND SAFETY RECORDS, 52
FIREARM NOTIFICATIONS, 65
FIREARM SALE REPORTS, 73
FIREARM SALES REPORT DESTRUCTION RECORDS, 73
FIXED ASSETS, 11
FORCIBLE ENTRY RECORDS, 66
FORFEITURE RECORDS, 66
FORMS AND TEMPLATES, 6
FUEL OIL STORAGE TANK RECORDS, 52
FUGITIVE WARRANTS CASE RECORDS, 67
FUND DRIVE AND EVENT RECORDS, 6

G

GENERAL FUND REPORTS. *SEE* DETAIL REPORT FILE
GENERAL LEDGER. *SEE* DETAIL REPORT FILE
GOVERNMENT EMPLOYEES RETIREMENT SYSTEM
MONTHLY REPORTS, 19
GRANT CONTRACT APPEALS CASES, 6
GRANT PROPOSALS, 6
GRANTS, 7
FINANCIAL, 20
GRIEVANCES, 40
GRIEVANCES, INMATE, 69

H

HANDGUN PERMITS, 75
HAZARDOUS MATERIALS TRAINING RECORDS, 40
HEALTH CERTIFICATES, EMPLOYEE, 40
HISTORY RECORDS (AGENCY AND EMPLOYEES), 7

I

I-9 FORMS, 38
IDENTIFICATION, FINGERPRINTS, 66
IDENTIFICATION RECORDS, INMATE, 71
IDENTIFICATION RECORDS, JUVENILE, 73
IDENTIFICATION PHOTOGRAPHS, 67
IMAGING RECORDS. *SEE* DIGITIZATION AND
SCANNING RECORDS
IMMIGRATION AND CUSTOMS ENFORCEMENT
DETAINEE RECORDS, 67
INCARCERATION RECORDS, 70
INCIDENT REPORTS, DETENTION FACILITIES, 62
INCIDENT RESPONSE REPORTS, 67
INDICES, 7
INFORMANT RECORDS, 68
INFORMATION TECHNOLOGY (IT) RECORDS, 24-27
INFORMATION TECHNOLOGY ASSISTANCE RECORDS,
25

INMATE RECORDS, 68-72
INMATE REIMBURSEMENT REQUESTS, 72
INSPECTION RECORDS, EQUIPMENT AND VEHICLE, 5
INSPECTION RECORDS, DETENTION FACILITIES, 62
INSPECTION RECORDS, FACILITY, 6
INSPECTION RECORDS, PERSONNEL, 75
INSURANCE POLICIES, 31
INTERAGENCY PROGRAMS, 7
INTERNAL AFFAIRS CASE RECORDS, 72
INTERNSHIP PROGRAM, 40
INTERROGATIONS, 65
INVITATIONS, 7
INVOICES, 20
ITINERARIES, 7

J

JUVENILE CASE HISTORY FILES, 72
JUVENILE DETENTION RECORDS, 73
JUVENILE IDENTIFICATION RECORDS, 73

L

LAND OWNERSHIP RECORDS, 31
LEAVE RECORDS, 41
LEGAL OPINIONS, 31
LEGAL RECORDS, 28-32
LEGAL REVIEW RECORDS, 31
LEGISLATION AND REGULATORY RECORDS, 8
LETTERS MONTHLY REPORTS, 19
LITIGATION CASE RECORDS, 32
LOCAL GOVERNMENT COMMISSION FINANCIAL
STATEMENTS, 20
LONGEVITY PAY, 20
LOSS CONTROL INSPECTION REPORTS, 53
LOST, STOLEN, OR DAMAGED PROPERTY REPORTS
(LEGAL), 53

M

MAIL, 8
MAILING AND DISTRIBUTION RECORDS, 8
MAINTENANCE RECORDS, EQUIPMENT AND
VEHICLE, 5
MAINTENANCE RECORDS, FACILITY, 6
MANAGEMENT STUDIES, 13
MATERIAL SAFETY DATA SHEETS, 53
MEAL RECORDS, INMATE, 70
MEDIA FILE, 49
MEDICAL RECORDS, EMPLOYEE, 41
MEDICAL RECORDS, INMATE, 71
MEETING PACKETS, 1
MEMORANDA, 4

MILITARY LEAVE, 42
MINUTES (STAFF MEETINGS), 9
MINUTES OF PUBLIC BODIES, 9
MISDEMEANOR CASE FILES, 58
MOBILE VIDEO RECORDINGS, 57
MONTHLY CONFINEMENT RECORDS, 71
MULTIPLE FIREARMS SALES REPORTS, 73
MUTUAL AID AGREEMENTS, 73

N

NETWORK AND SYSTEM SECURITY RECORDS, 25
NETWORK DIAGRAMS, 25
NEWSPAPER CLIPPINGS, 7
NOTICES OF PUBLIC MEETINGS, 9

O

OATHS OF OFFICE, 32
OCCUPATIONAL SAFETY & HEALTH ADMINISTRATION
(OSHA), 53
OFFICE SECURITY RECORDS, 10
ORDINANCE AND RESOLUTION DEVELOPMENT
RECORDS, 32
OSHA, 53

P

PARKING, 10
PAROLE COMMISSION NOTIFICATION REPORTS, 74
PAWNSHOP RECORDS, 74
PAYROLL AND EARNINGS RECORDS, 20
PAYROLL DEDUCTION RECORDS, 21
PENSION PLAN ENROLLMENT FORMS, EMPLOYEE, 42
PERFORMANCE REVIEWS, EMPLOYEE, 42
PERMISSION TO SEARCH RECORDS, 74
PERMITS, CONCEALED WEAPONS, 74
PERMITS, HANDGUN, 75
PERSONAL HISTORIES OF KNOWN OR SUSPECTED
LAW BREAKERS, 75
PERSONNEL ACTION NOTICES, 42
PERSONNEL INSPECTION REPORTS, 75
PERSONNEL RECORDS, 33-48
PERSONNEL RECORDS (OFFICIAL COPY), 43
PERSONNEL RECORDS (REFERENCE COPY), 43
PEST CONTROL, 10
PETITIONS (CITIZEN), 3
PETTY CASH, 17
PHYSICAL FORCE RECORDS, 63
POLICIES AND PROCEDURES, 10
POLICIES AND PROCEDURES (PERSONNEL), 44
POLYGRAPH RECORDS, EMPLOYEE, 44

POPULAR ANNUAL FINANCE REPORT, 49
POSITION CLASSIFICATION, 44
POSITION CONTROL, 44
POSITION DESCRIPTIONS, 44
POSITION HISTORY, 44
POSITION REQUISITION AND ANALYSIS RECORDS, 44
PRE-TRIAL RELEASE PROGRAM RECORDS, 32
PRICE QUOTATIONS, 10
PRISONER TRANSPORT RECORDS, 75
PROJECT DOCUMENTATION (INFORMATION
TECHNOLOGY), 26
PROJECTS, 11
PROPERTY INVENTORIES, 11
PROPERTY RECORDS (SHERIFF RECORDS), 76
PUBLIC HEARINGS, 11
PUBLIC RECORDS REQUESTS, 11
PUBLIC RELATIONS RECORDS, 49-50
PUBLICATIONS RECEIVED, 11
PUBLICITY RECORDS, 50
PURCHASE ORDERS, 21
PURSUIT LOGS, 77

R

RADIO DISPATCH RECORDS, 59
RATE SCHEDULES, 11
RECEIPTS, 16
RECONCILIATIONS (FINANCIAL), 16
RECORDS BACKUPS, SYSTEM MAINTENANCE
RECORDS, 27
RECORDS MANAGEMENT, 12
RECRUITMENT RECORDS, 45
REFERENCE (READING) FILE, 12
REFUND REPORTS, 21
REGULATIONS, 1
REGULATORY RECORDS (EXTERNAL), 8
REIMBURSEMENT REQUESTS FOR THOSE CONFINED
LOCALLY, 72
REPAIR RECORDS, EQUIPMENT AND VEHICLE, 5
REPAIR RECORDS, FACILITY, 6
REPORTS AND STUDIES (INTERNAL), 13
REPORTS, ALARM CALLS, 55
REQUEST FOR CHANGE IN RECORDS SCHEDULE, 89
REQUEST FOR DISPOSAL OF ORIGINAL RECORDS
DUPLICATED BY ELECTRONIC MEANS, 91
REQUEST FOR DISPOSAL OF UNSCHEDULED
RECORDS FORM, 90
REQUESTS FOR INFORMATION, 13
REQUESTS FOR PROPOSALS, 14
REQUISITIONS, 21
RESOLUTION DEVELOPMENT RECORDS, 32
RESPIRATOR PROGRAM RECORDS, 52
RETIREMENT RECORDS, EMPLOYEE, 45

RETURNED CHECKS, 21
RIDE-ALONG PROGRAM RECORDS, 77
RISK MANAGEMENT RECORDS, 51-53
RULES, 1

S

SALES AND USE TAX REPORTS, 22
SALES INFORMATION, 22
SCANNING RECORDS, 24
SECONDARY EMPLOYMENT, 45
SECURITY RECORDS, EMPLOYEE, 52
SECURITY VIDEO RECORDINGS, 10
SELF-INSURER CERTIFICATIONS, 53
SENTENCING PROGRAMS, ALTERNATIVE, 55
SERVICE AWARDS AND COMMENDATIONS, 45
SERVICE REQUESTS (CITIZEN), 3
SEXUAL OFFENDER RECORDS, 77
SHERIFF RECORDS, 54-81
SOCIAL MEDIA, 50, 84
SOFTWARE LICENSE AND COPYRIGHT PROVISIONS
RECORDS, 26
SPACE NEED STUDIES, 13
SPECIAL EVENTS, 6, 50
SPECIAL ORDERS (SHERIFF RECORDS), 77
SPECIFICATIONS (ARCHITECTURAL), 2
SPEECHES, 50
STOLEN/RECOVERED PROPERTY RECORDS, 76
STOLEN PROPERTY REPORTS (LEGAL), 53
SUGGESTIONS, EMPLOYEE, 45
SURPLUS PROPERTY, 14, 16
SURVEYS, EMPLOYEE, 45
SYSTEM ACCESS RECORDS (INFORMATION
TECHNOLOGY), 26
SYSTEM DOCUMENTATION RECORDS, 26
SYSTEM MAINTENANCE RECORDS: HARDWARE
REPAIR OR SERVICE, 27
SYSTEM MAINTENANCE RECORDS: RECORDS
BACKUPS, 27

T

TEMPORARY EMPLOYEE RECORDS, 46
TEXT MESSAGES, 83
TICKET. *SEE*: TRAFFIC CITATIONS
TICKET, WARNING, 81
TIME SHEETS, CARDS, & ATTENDANCE RECORDS, 22
TOWED VEHICLE RECORDS, 80
TOWED/SEIZED VEHICLE INVENTORIES, 78
TRAFFIC ACCIDENT REPORTS, 78
TRAFFIC CITATIONS, 79
TRAINING RECORDS, EMPLOYEE, 46
TRAINING RECORDS, OFFICER, 80

TRANSPORT, PRISONER/MENTAL HEALTH, 75
TRAVEL REIMBURSEMENTS, 22
TRAVEL REQUESTS, 14
TRESPASS LAW ENFORCEMENT RECORDS, 79
TSERS MONTHLY REPORTS, 19

U

UNCLAIMED PROPERTY RECORDS, 76
UNEMPLOYMENT COMPENSATION CLAIMS, 46
UNEMPLOYMENT COMPENSATION REPORTS, 46
UNEMPLOYMENT INSURANCE, 47
UTILITY USAGE LOGS AND BILLS, 22

V

VEHICLE LOCATOR RECORDS, 80
VEHICLE MAINTENANCE, REPAIR, AND INSPECTION
RECORDS, 5
VEHICLE MILEAGE LOG, 5
VEHICLE REFERENCE RECORDS, 5
VEHICLE REGISTRATION CARDS, 14
VEHICLE TITLES, 32
VEHICLE TOWED/SEIZED INVENTORIES, 78
VEHICLE TOWING RECORDS, 80
VEHICLE USAGE RECORDS, 5
VENDORS, 22
VERIFICATION OF EMPLOYMENT RECORDS, 47
VISITATION RECORDS, INMATE, 70
VOICEMAIL, 83
VOLUNTEER RECORDS, 47

W

W-2 FORMS. *SEE* WITHHOLDING TAX FILE
W-4 FORMS. *SEE* WITHHOLDING TAX FILE
WARNING TICKETS, 81
WARRANTIES, 5
WARRANT REGISTERS, 81
WARRANTS, 81
WEB MANAGEMENT AND OPERATIONS RECORDS:
STRUCTURE, 27
WEBSITE (ELECTRONIC), 50
WITHHOLDING TAX FILE, 23
WORK ORDERS, 14
WORK RELEASE, 81
WORK SCHEDULES AND ASSIGNMENT RECORDS,
EMPLOYEE, 47
WORKERS' COMPENSATION PROGRAM
ADMINISTRATION, 47
WORKERS' COMPENSATION PROGRAM CLAIMS, 48
WORKSHOPS, 4
WRECKER SERVICE RECORDS, 81